



Workshop 39 Fiduciary Training and Implementing a Plan Governance Program

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Program Topics

The discussion is predicated on the following broad topics:

- Litigation risk
- Regulatory risk
- Competitive risk

Specific Topics:

- Prudent Investing and ERISA: Fees and the Fiduciary Duty of Care
- Cost as a Component of the Fiduciary Duty of Care
- ERISA and the Responsibilities of a Plan Sponsor: The Need for an Experienced Intermediary
- Plan Sponsor Investment Committee Meeting – Best Practices (DVD)
- Asset Retention: Performance-Related Issues
- Robo-Advisors: A Closer Look
- Determining the Reasonableness of 401(k) Plan Fees
- White paper entitled “Fiduciary Financial Advisers and the Incoherence of a “High-Quality Low-Fee” Safe Harbor
- DOL Investigation Preparedness



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Ordering Materials

To order Mr. Maloney’s materials, please dial 1-800-245-4270 and the Call Center representatives will help you. (Please use the inventory numbers provided.)

1. Prudent Investing and ERISA: Fees and the Fiduciary Duty of Care **(45848)**
2. Cost as a Component of the Fiduciary Duty of Care **(45839)**
3. ERISA and the Responsibilities of a Plan Sponsor: The Need for an Experienced Intermediary **(45772)**
4. Plan Sponsor Investment Committee Meeting – Best Practices (DVD) **(44429)**
5. Asset Retention: Performance-Related Issues **(44968)**
6. Robo-Advisors: A Closer Look **(45938)**
7. Determining the Reasonableness of 401(k) Plan Fees **(42429-B)**
8. White paper entitled “Fiduciary Financial Advisers and the Incoherence of a “High-Quality Low-Fee” Safe Harbor **(45989)**
9. DOL Investigation Preparedness **(45038)**



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