Chart 1

Estimated Private Sector Active Participants in 401(k) and Profit Sharing Plans, Distributed by Adjusted Gross Income

<table>
<thead>
<tr>
<th>Income Level</th>
<th>Percent of All Active Participants</th>
</tr>
</thead>
<tbody>
<tr>
<td>under $50,000</td>
<td>43%</td>
</tr>
<tr>
<td>$50,000 under $100,000</td>
<td>37%</td>
</tr>
<tr>
<td>$100,000 under $150,000</td>
<td>9%</td>
</tr>
<tr>
<td>$150,000 under $200,000</td>
<td>7%</td>
</tr>
<tr>
<td>$200,000 or more</td>
<td>4%</td>
</tr>
</tbody>
</table>

Source: Internal Revenue Service (IRS) Statistics of Income Division (SOI)

Chart 2

Participation Rates by Moderate Income ($30,000–$50,000)
Workers - IRA only vs Employer Plan

Source: Employee Benefit Research Institute (2010) estimate using 2008 Panel of SIPP (Covered by an Employer Plan) and EBRI estimate (Not Covered by an Employer Plan-IRA only).
**Chart 3**

**Total Financial Assets**
Families with Retirement Savings Accounts

- DC Plan Accounts and IRAs, 65.7%
- Other Assets, 34.3%

Source: EBRI Research estimates of the 2010 Survey of Consumer Finances - Median percentages

**Chart 4**

**Defined Contribution and IRA Assets**
(Trillions)