

## Continuing Education Quiz

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### Circle One

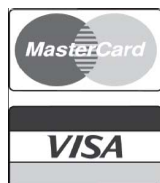
- True or False    1. Participant advocates have been arguing that the current reliance on prospectuses is effective for the average plan participants.
- True or False    2. The DOL's proposal regarding the 2008 Form 5500 Schedule C requires "bundled arrangements" to disclose a breakdown of payments received for each service rendered.
- True or False    3. An engagement letter can establish expectations for your clients, so that they should be neither surprised by what they need to do nor by what to expect from you.
- True or False    4. If a prior TPA leaves tasks undone, the limitations of your engagement letter may be an excellent defense to a charge brought against your firm for malpractice or breach of contract.
- True or False    5. The Presidential Panel on Federal Tax Reform encourages the use of ESOPs in the tax structure it has recommended to the Administration.
- True or False    6. Employees participating in a Flexible Spending Account (FSA) program will gain a pre-tax benefit of 10%.
- True or False    7. A participant is able to request reimbursement up to the initial annual election amount for Dependent Care Assistance Programs (DCAPs) expenses.
- True or False    8. When amending for forms that are not utilized, the look-back period is defined as the pre-adoption period and the two plan years immediately preceding the pre-adoption period.
- True or False    9. The provision of features such as loans or the ability to direct investments in a DC plan are not protected benefits, but are subject to nondiscrimination rules.
- True or False    10. In several examples cited of DC plans eliminating a benefit, right or feature (BRF), plan sponsors need to do nothing more than sign the amendment and correct their administration.

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