

## Continuing Education Quiz

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If seven or more questions are answered correctly, ASPPA will award you with three ASPPA continuing education credits and send a certificate to you for your records. To receive credits, the quiz must be submitted no later than 24 months after the date of the quiz, and credits can only be applied to the cycle in which the grade is received.

You may also mail or fax a completed quiz to ASPPA, following the instructions below, with the \$30 grading fee.

### Circle One

- True or False    1. According to Rich Budke, there are two key burdens to the new hire process: need for a process supervisor and need for high level involvement by a professional advisor.
- True or False    2. In order to get plan sponsors to zero with respect to new hires, Rich Budke offers the following solutions – Supply the labor, supply payroll and supply a document.
- True or False    3. After hearings in October, Chairman George Miller made it clear he intends to dismantle 401 (k) plans.
- True or False    4. The House and Senate passed HR 6382 in July 2008.
- True or False    5. If speaking in front of your target market, you should try to sell them on your services.
- True or False    6. To increase your credibility in the marketplace, you should obtain as much media exposure as possible to ensure an effective selling technique of third party endorsement.
- True or False    7. The most commonly drafted trust is the spendthrift trust and with appropriate spendthrift language it can protect beneficiaries from creditors' claims.
- True or False    8. The beneficiary is the only one to hold the title to the trust assets.
- True or False    9. A trust is called "discretionary" when the trustee can decide the timing, amount and identity of the beneficiary when making distributions.
- True or False    10. The Employee Plan's Compliance Unit (EPCU) is a way to conduct compliance checks through correspondence and determine whether recordkeeping or reporting requirements are being met without an audit.

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