

Continuing Education Quiz

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You may also mail or fax a completed quiz to ASPPA, following the instructions below, with the \$50 grading fee.

Circle One

- True or False 1. The Schedule C of Form 5500 is designed to report the distributions paid to plan participants.
- True or False 2. Line 4 of Part II of Schedule C allows plan administrators to report non-compliant service providers if the provider failed or refused to provide the necessary information to complete Schedule C.
- True or False 3. A plan administrator's written QDRO procedures should insist upon clarity and precision regarding participant loans.
- True or False 4. It is impermissible to divide a participant loan in the case of a QDRO.
- True or False 5. An amendment increasing benefits can take effect in a plan year if the AFTAP before the amendment is less than 80%.
- True or False 6. An exception to the AFTAP amendment rules is to have the employer make a sufficient contribution under Section 430 rules.
- True or False 7. A plan with an overall expense of 50 bps will provide a greater accumulation of assets for participants than a plan with an expense of 125 bps.
- True or False 8. When evaluating 401(k) fees, a plan sponsor should assess the value that is derived from the investments and services offered.
- True or False 9. In its regulations, the DOL requires investment fiduciaries to give "appropriate consideration" to the facts and circumstances relevant to the investment of company stock only.
- True or False 10. ERISA requires that every fiduciary decision be correct.

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