

Continuing Professional Education Quiz

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If seven or more questions are answered correctly, ASPPA will award you with three ASPPA continuing professional education credits and send a certificate to you for your records. To receive credits, the quiz must be submitted no later than 24 months after the date of the quiz, and credits can only be applied to the cycle in which the grade is received.

You may also mail or fax a completed quiz to ASPPA, following the instructions below, with the \$50 grading fee.

Circle One

- True or False 1. Defined benefit liabilities partially matched with fixed income assets increase pension risk.
- True or False 2. Failure to know your client's business may cause you to overlook partial plan termination and evolving controlled group issues.
- True or False 3. Plan Administrator must provide Designated Investment Alternatives information in a chart or similar comparative format to facilitate an easy comparison of options.
- True or False 4. A Plan Administrator must provide Plan-level information and QDIA information in order to satisfy disclosure duty under the new participant disclosure regulation.
- True or False 5. The participant count for PBGC premium purposes is determined on the last day of the prior plan year, regardless of the valuation date.
- True or False 6. Final regulations provide no guidance with regard to the application of additional charges when late quarterly contributions are made to plans with end of year valuation dates.
- True or False 7. Unlike other tax expenditures, the expenditures for retirement benefits are tax deferrals and not tax exclusions.
- True or False 8. Practitioners should remain focused and avoid interruptions when preparing a plan document.
- True or False 9. The level of mismatch between assets and liabilities in a defined benefit plan represent a risk position that is considered a form of corporate leverage.
- True or False 10. A Buy-out is attractive to plan sponsors because it transfers the pension risk of an on-going plan.

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