ASPPA
Annual
Conference
Special

# Special Offer!
# $199 Affiliate Membership

## Enjoying the ASPPA Annual Conference?

It’s just a taste of what you’ll receive as an ASPPA member.
Become a Member for $199* and we’ll give you the full menu!

** Discounted Membership Fees are valid for first-time members only.*

## Your Membership Benefits At-a-Glance:

- Professional Development, Credentialing and Continuing Education
- Advocacy and Industry Representation
- Regulatory and Legislative News
- Networking with Other Industry Leaders
- National and Regional Conferences
- Member Discounts
- *and Much More!*

# Join and SAVE!

ASPPA®
WORKING FOR AMERICA’S RETIREMENT

**Visit ASPPA at Booth #222**
Start Advancing Your Career!

# Application for Affiliate Membership

**2011 ASPPA Annual Conference: 2011/2012 Membership Special: $199!**

*Membership in ASPPA must be renewed annually.*

Mr./Mrs./Ms. Name: ______ (circle one) First MI Last (former name)

Company: ______ (provide company name, even if home address is noted below) Company Owner's Name(s): ______

Title: ______ ❑ I am the owner

Street Address: ______

City: ______ State: ______ Zip Code: ______

❑ Home ❑ Business ❑ ASPPA membership labels are sold upon request. *Please check the box if you do not want your name and address distributed.*

Work Phone: ______ Fax: ______

Home Phone: ______ Home Zip Code: ______ (for government affairs purposes)

E-mail Address: ______ (for ASPPA asap newsletter delivery) Date of Birth: ______

## Professional Credentials (choose all that apply):

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| ❑ AAMS | ❑ ARPC | ❑ CFA | ❑ CIMA | ❑ CRA | ❑ CRSP | ❑ FCA | ❑ MSFS | ❑ RIA |
| ❑ AEP | ❑ ARPS | ❑ CFP | ❑ CLU | ❑ CRC | ❑ EA | ❑ FSA | ❑ PFS | ❑ RP |
| ❑ APA | ❑ ASA | ❑ CFS | ❑ CMFC | ❑ CRPC | ❑ ERPA | ❑ MAAA | ❑ RFC | ❑ Other: ______ |
| ❑ APR | ❑ CEBS | ❑ ChFC | ❑ CPA | ❑ CRS | ❑ Esq | ❑ MCRS | ❑ RFP | |

## Position (choose the one that most closely describes your position):

- ❑ Accountant/Plan Auditor
- ❑ Actuary
- ❑ Attorney
- ❑ Investment Professional - 401(k) Plan
- ❑ Investment Professional - 403(b)/457 Plan
- ❑ Plan Administrator or Consultant
- ❑ Other: ______

## Role (choose the one that most closely describes your role):

- ❑ Accountant
- ❑ Actuary
- ❑ Attorney
- ❑ Consultant
- ❑ Consultant/Plan Design
- ❑ Consulting Services
- ❑ Daily Conversion Specialist
- ❑ Daily Processor/Recordkeeper
- ❑ Executive
- ❑ Educator
- ❑ Financial Planner
- ❑ Investment Advisor
- ❑ Institutional Broker
- ❑ Insurance Broker/Agent
- ❑ Investment Manager
- ❑ Manager/Admin
- ❑ Manager/Supervisor
- ❑ Manager/Marketing
- ❑ Manager/Prod Sales
- ❑ Manager/Technical
- ❑ New Business Development
- ❑ President
- ❑ Plan Administrator
- ❑ Registered Representative
- ❑ Staff/Admin
- ❑ Staff/Marketing
- ❑ Staff/Prod Sales
- ❑ Staff/Technical
- ❑ Student
- ❑ Third Party Admin
- ❑ Third Party Admin-Producer
- ❑ Vice President
- ❑ Wholesale Mutual Fund
- ❑ Other: ______

## Type of Business (choose the one that most closely describes your type of business):

- ❑ Accountant
- ❑ Actuarial/Employee Benefits
- ❑ Brokerage Firm
- ❑ Bank/Savings & Loan
- ❑ Computer/Software Firm
- ❑ Educational Institution
- ❑ Other Financial Services Company
- ❑ Government Entity
- ❑ Human Resources
- ❑ Insurance Agency
- ❑ Investment Consulting Firm
- ❑ Insurance Company
- ❑ Investment Firm
- ❑ Industry Training Provider
- ❑ Legal Firm
- ❑ Mutual Fund Company
- ❑ Plan Sponsor
- ❑ Recordkeeping Company
- ❑ Third Party Admin Firm
- ❑ Third Party Admin – Producing TPA
- ❑ Other Consulting Firm: ______
- ❑ Other: ______

## Code of Ethics:

Have you been found guilty of a felony, violation of insurance or securities regulations or any violation of the code of ethics of any professional or business organization?

❑ No ❑ Yes (If yes, explain on a separate attachment.)

I have read the ASPPA Code of Professional Conduct and if my application is accepted I agree to abide thereby. I certify that the information provided in this application is true and correct to the best of my knowledge. (If you do not have a copy of the ASPPA Code of Professional Conduct, please call the ASPPA office to request one.)

Signature: ______ Date: ______

## Payment Information:

**Dues Payment:**

❑ $199 (dues through 12/31/2012) ❑ $50 Retired or Government Employee

I am paying by ❑ Check ❑ Money Order ❑ Mastercard ❑ Visa ❑ Amex ❑ Discover

Name as it appears on card: ______

Card No.: ______ Exp. Date: ______

Signature: ______

Please complete your application at the ASPPA Annual Conference and return it to our booth or fax it right away to 703.516.9308. Fees appearing on this form are no longer valid after November 1, 2011. Questions? Please call us at 800.308.6714 or visit us on the Web at www.asppa.org.

### Tax Deductions:

Dues, contributions or gifts to ASPPA are not deductible as charitable contributions; they may be deductible, however, as ordinary and necessary business expenses. Federal law prohibits a tax deduction for the portion of membership dues attributable to lobbying expenses incurred by the organization. Consequently, for 2011, 27% of your dues are non-deductible in accordance with this provision.

**MEMBER REFERRED BY:** ______

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ASPPA® WORKING FOR AMERICA'S RETIREMENT

4245 North Fairfax Drive, Suite 750
Arlington, VA 22203
P 703.516.9300 F 703.516.9308
www.asppa.org