

REGISTRATION FORM

STEP ONE - REGISTRANT INFORMATION

Please register only on person per form. Type or print clearly.

Name: _____

Badge Name: _____

Title: _____ Designation(s): _____

Company: _____

Street Address/PO Box: _____

City: _____ State: _____ Zip: _____

Phone: _____ Fax: _____

E-mail: _____

Please note that information printed above for Badge Name, Company, City and State will appear on your conference badge exactly as stated.

If the contact information above is different than what we have on file, should these changes be updated in your ASPPA record?

Yes No

STEP TWO - ADDITIONAL INFORMATION

This is my first ASPPA Annual Conference.

Business Type (Please select only one)

Accountant Actuary Consultant Human Resources Personnel Insurance Agent
 Investment Lawyer TPA Other (Please specify): _____

Please check dietary requirements (if applicable):

Kosher Vegetarian Other (Please specify): _____

If you require any other special accommodations, please specify:

STEP THREE - SPECIAL EVENTS REGISTRATION

For planning purposes, check the boxes below for any events you and/or your guest(s) plan to attend.

Sunday, November 1

- President's Welcome Reception (no charge for registered attendees)
 Guest – President's Welcome Reception (\$65/guest) _____ x \$65 = \$_____

Monday, November 2

- Washington Monuments at Night Tour (\$65/person) _____ x \$65 = \$_____
 PAC Reception (PAC Members Only – no charge for registered attendees)

Tuesday, November 3

- Visits to Capitol Hill
 XY Generation Cocktail Reception (open to those born after 1965)
 Party on the Potomac (no charge for registered attendees)
 Guest – Party on the Potomac (\$65/guest) _____ x \$65 = \$_____

REGISTRATION FORM

STEP FOUR - WORKSHOP SELECTION

For our planning purposes, please check the box next to the workshops you plan to attend.

Sunday, November 1

12:00 p.m. – 1:15 p.m.

- WS 1: Should a Plan Optimize Return vs. Minimizing Risk
- WS 2: Participant Communications & Notices
- WS 3: Common Recordkeeping Errors and How To Fix Them
- WS 4: ASPPA Recordkeeping Certification
- WS 5: The Mechanics of Roth and the Changes in 2010
- WS 6: Section 430, Investment Losses and Contribution Consulting
- WS 7: The Double-Edged Sword of Plan Design: Cash Balance Plans

1:30 p.m. – 2:45 p.m.

- WS 8: Informal Defined Contribution Session
- WS 9: DB(k) Begins in 2010
- WS 10: The End in Mind
- WS 11: The Jetsons File Form 5500
- WS 12: Defensive Defined Contribution Plan Design
- WS 13: FASB – Pension Accounts and the 2008 Financial Markets
- WS 14: Coming Out of a Fully-Insured Plan

Monday, November 2

11:45 a.m. – 1:00 p.m.

- WS 15: PPA Funding for Non-Actuaries
- WS 16: Managing Your Liability Exposure as a TPA
- WS 17: Circular 230 for Enrolled Agents, Enrolled Actuaries, CPA, ERPAs, Attorneys
- WS 18: 401(k) Testing Failures and Corrections Techniques *(Repeat of WS 37)*
- WS 19: 403(b) Plans – Making the Extreme Makeover Work *(Repeat as WS 30)*
- WS 20: Minimum Required Contribution under Section 430 *(Repeat as WS 56)*
- WS 21: Informal Defined Benefit Session

4:40 p.m. – 5:55 p.m.

- WS 22: Automatic Enrollment and QDIAs
- WS 23: A Defense Attorney Defends a TPA
- WS 24: Behavioral Economics – Basic Theory for Plan Administrations

- WS 25: Hot Topics Update *(Repeat as WS 52)*
- WS 26: Decumulation and Defined Contribution Plans
- WS 27: Maintaining and Utilizing Credit Balances Including Deemed Reductions *(Repeat as WS 42)*
- WS 28: Cash Balance Plan Design and DB/DC Combinations

Tuesday, November 3

8:15 a.m. – 9:30 a.m.

- WS 29: EPCRS Case Studies
- WS 30: 403(b) Plans – Making the Extreme Makeover Work *(Repeat of WS 19)*
- WS 31: PPA and Plan Amendments, EGTRRA Restatements and Document Submissions
- WS 32: Form 5500 Schedule C: What You Need to Know
- WS 33: Cash Balance Plan Administration for DC Administrators
- WS 34: Section 436 Restrictions and Notice Requirements
- WS 35: Plan Termination Issues – ERISA and Non-ERISA Plans

10:00 a.m. – 11:15 a.m.

- WS 36: Fiduciary Best Practices for Gray Areas
- WS 37: 401(k) Testing Failures and Corrections Techniques *(Repeat of WS 18)*
- WS 38: Ethics in Representing Employee Benefit Plans
- WS 39: 403(b) Document Issues and Pre-Approved Plan Program
- WS 40: Non-Traditional Plan Investments
- WS 41: Section 404 and 404(a)(7) Deduction Issues
- WS 42: Maintaining and Utilizing Credit Balances Including Deemed Reductions *(Repeat of WS 27)*

2:15 p.m. – 3:30 p.m.

- WS 43: Outsourcing and Telecommuting
- WS 44: We are Paid Tax-Preparers – Heightened Tax Preparer Liability
- WS 45: Single Employer Plan Terminations
- WS 46: Looking at M&A a Whole New Way
- WS 47: Getting Cash While Keeping Your Job

- WS 48: Schedule SB and PBGC Premium Filing
- WS 49: Chaos Theory and Section 401(a)(4) Non-Discrimination Testing

4:00 p.m. – 5:15 p.m.

- WS 50: Succession Planning for Business Owners
- WS 51: Staying Out of Trouble: Prohibited Transaction Case Studies
- WS 52: Hot Topics Update *(Repeat of WS 25)*
- WS 53: What Happens to Plans and/or to Participants When Creditors and the Bankruptcy Courts Come Knocking
- WS 54: 457 Plans – Those Other Deferral Plans
- WS 55: Participant Disclosure and Notice Requirements - DB
- WS 56: Minimum Required Contribution under Section 430 *(Repeat of WS 20)*

Wednesday, November 4

8:00 a.m. – 9:15 a.m.

- WS 57: 403(b) 5500 Data and Audit Issues
- WS 58: How to Prepare for IRS and DOL Audit Initiatives
- WS 59: Plan Design for DC - Advanced
- WS 60: It's "Not that 70's Show" – Affiliated Service Groups
- WS 61: TPA Firm Best Practices: Firms Focused on the Future
- WS 62: Distributions from DB Plans, Including 415 and 417 issues
- WS 63: Model Actuarial Report for 2009

9:30 a.m. – 10:45 a.m.

- WS 64: Co-Fiduciary Responsibility and Ethics
- WS 65: Plan Audits – Working with the CPA
- WS 66: Sweeping Changes in the Fiduciary World
- WS 67: Investments: How is the Participant Supposed to Choose?
- WS 68: Non-Qualified Plan Designs – Applicability and Circumstances
- WS 69: Partial Terminations: Work Force Reductions and Freezing Accruals
- WS 70: IRS Q&A – Defined Benefit Plans

Name: _____