



**ASPPA Benefits Council™**

New England

## Prohibited Transactions

**Thursday  
October 22, 2009**

**8:00 a.m. - 12:00 p.m.**

Westin Waltham-Boston  
70 Third Avenue  
Waltham, MA 02451  
Ph: 781.290.5600

Breakfast, parking  
and materials are included.

**RSVP by Thursday  
October 15, 2009**

**4 hours of ASPPA CE credit available**

\*The final decision as to the number and type of JBEA credits rests solely with the Joint Board for the Enrollment of Actuaries

## Sheldon H. Smith, APM

Sheldon H. Smith, APM, a partner in the Compensation and Benefits Practice Group at Holme Roberts & Owens, LLP in Denver, CO ([www.hro.com](http://www.hro.com)), counsels his clients on executive compensation, fiduciary duties and qualified retirement plans. He advises his clients with regard to fiduciary duties, performs fiduciary duty compliance audits on employee benefit plans, ERISA aspects of corporate transactions, and he represents clients before the IRS, Department of Labor and the PBGC.

With more than 30 years of litigation experience, Sheldon Smith defends his clients in ERISA and benefits, tax and probate litigation. For over 25 years, he has shared his expertise with lawyers and other professionals by presenting seminars and giving speeches to many diverse types of professional groups across the country. Sheldon has been active with numerous benefits organizations including ASPPA and Western Pension & Benefits Conference. He is currently ASPPA's President-Elect.

The cost to attend the workshop is \$65 for ASPPA members, \$80 for ABC of New England members and \$120 for non-members.

## Prohibited Transactions:

**One of the more difficult areas of ERISA has to do with prohibited transactions. Sheldon H. Smith, APM, will cover the rules, exceptions and the application of the complex prohibitions. The session will then include a presentation of factual scenarios for the audience to consider. They can then decide whether or not a prohibited transaction has occurred, and if so, how to correct it. The program will be interactive and entertaining.**

ASPPA Benefits Council of New England | c/o Sentinel Benefits Group, Inc.  
55 Walkers Brook Drive, Suite 100 | Reading, MA 01867

If paying by credit card, you may e-mail this form to Amy Sheridan at [asheridan@sandw.com](mailto:asheridan@sandw.com) or fax to 781.213.7397.

If you do not wish to receive additional e-mail or fax communications from the ASPPA Benefits Council of New England, please contact [jhalloran@asppa.org](mailto:jhalloran@asppa.org) or call 703.516.9300.



**ASPPA Benefits Council™**  
New England

Thursday, October 22, 2009  
8:00 a.m. – 12:00 p.m.

Westin Waltham-Boston  
70 Third Ave.  
Waltham, MA 02451  
Ph: 781.290.5600

Breakfast, parking and materials are included in the price.

RSVP by October 15, 2009

# Prohibited Transactions

with Sheldon H. Smith, APM

If you are a corporate member of the ABC of New England, then all associates pay member rates. For payment at the door, add \$10 per registrant.

	Rate per Person	# of People	Total Cost
Member of ASPPA	\$65	x _____	\$ _____
Member of the ABC of New England	\$80	x _____	\$ _____
Non-Member	\$120	x _____	\$ _____

Names of Registrants (include professional credentials and ASPPA member ID, as applicable)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_
6. \_\_\_\_\_

Name of Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

Method of Payment:  Check (payable to ABC of New England)  American Express  Discover  MasterCard  Visa

Name (as it appears on card): \_\_\_\_\_

Credit Card #: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Signature of Credit Card Holder: \_\_\_\_\_

PLEASE COMPLETE FORM AND SEND WITH PAYMENT BY NO LATER THAN OCTOBER 15, 2009, TO:

ASPPA Benefits Council of New England | c/o Sentinel Benefits Group, Inc.  
55 Walkers Brook Drive, Suite 100 | Reading, MA 01867

If paying by credit card, you may e-mail this form to Amy Sheridan at asheridan@sandw.com or fax to 781.213.7397.

For further information, please call Adam Pozek, QPA, QKA, QPFC, at 781.914.1296 or Ellen Houston, QPA, QKA, at 781.914.1297.

If you do not wish to receive additional e-mail or fax communications from the ASPPA Benefits Council of New England, please contact jhalloran@asppa.org or call 703.516.9300.