



**ASPPA Benefits Council™**

Great Northwest

## Annual ERISA Update

**Thursday,  
October 8, 2009**

8:00 a.m. – 4:30 p.m.

Palisade Restaurant  
at Elliot Bay Marina  
2601 West Marina Place  
Seattle, WA 98199  
Ph: 206.285.1000

Directions:

[www.palisaderestaurant.com](http://www.palisaderestaurant.com)

**RSVP by  
September 24, 2009**

8 hours of ASPPA CE credit available

### **Adam Pozek, QPA, QKA, QPFC, ERPA**

Adam Pozek is the vice president of consulting services for Sentinel Benefits & Financial Group in Reading, MA. He has worked in the employee benefits field since 1990. Adam has assisted companies from a variety of industries and ranging in size from 2 to 30,000 employees.

Adam works with business owners, executives and other professional advisors to design benefit programs that help them meet a variety of needs including employee retention and recruitment, tax and retirement planning and business succession. He assists companies in assessing benefit programs as part of mergers, acquisitions and other similar business transactions. In addition, he works with clients to address plan errors under the IRS and Department of Labor correction programs. Adam is actively involved with ASPPA where he serves on the Board of Directors and Government Affairs Committee.

As a nationally recognized author and presenter, Adam has been published in the *Journal of Financial Services Professionals*, the *Journal of Pension Benefits* and *The ASPPA Journal*. He has spoken on retirement plan topics for a variety of organizations, provided testimony to the ERISA Advisory Council and co-authored several comment letters and proposals to the Treasury Department and Congress.

The cost to attend the seminar is \$250 if postmarked by September 24, 2009; \$275 thereafter.

### **Seminar Program Content**

- Washington regulatory update
- Plan restatements
- Final EACA/QACA regulations
- Fee disclosure, investment advice, new Form 5500 reporting including EFAST 2, Schedule A and Schedule C
- Plan termination and partial plan termination issues
- Reduction or elimination of safe harbor contributions
- DOL/IRS audit update



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Please RSVP by September 24, 2009

The cost to attend the workshop is \$250 if postmarked by September 24, 2009  
and \$275 thereafter. Questions? Call David Rowe at 206.340.8018

TOTAL AMOUNT ENCLOSED: \_\_\_\_\_

### Schedule of Events

7:30 a.m. - 8:00 a.m.	Registration
8:00 a.m. - 9:20 a.m.	Washington regulatory update
9:20 a.m. - 9:30 a.m.	Break
9:30 a.m. - 10:50 a.m.	Plan restatements
10:50 a.m. - 11:00 a.m.	Break
11:00 a.m. - 12:30 p.m.	Fee disclosure, investment advice, new Form 5500 reporting
12:30 p.m. - 2:00 p.m.	Lunch
2:00 p.m. - 3:15 p.m.	Plan termination issues, reduction or elimination of safe harbor contributions
3:15 p.m. - 4:30 p.m.	IRS/DOL audit update
4:30 p.m. - 5:30 p.m.	Social hour

Please select from the following lunch options:

- Macadamia Nut Chicken
- Mushroom Crusted Beef Tenderloin
- Apple Wood Grilled Fresh King Salmon
- Portobello Mushroom Roulade

Name of Registrant (One registration per form please) \_\_\_\_\_

ASPPA member  Yes  No Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

Method of Payment:  Check (payable to ABC of the Great Northwest)  American Express  Discover  MasterCard  Visa

Name (as it appears on card): \_\_\_\_\_

Credit Card #: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Signature of Credit Card Holder: \_\_\_\_\_

PLEASE COMPLETE FORM AND SEND WITH PAYMENT TO:

ASPPA Benefits Council of the Great Northwest | c/o Jeff Roberts, CPC | ADP Retirement Services  
600 University Street, Suite 2812 | Seattle, WA 98101 | Ph: 206.654.8011 | Fax: 206.344.5912