



**HALF DAY SUMMER WORKSHOP
Featuring Robert M. Kaplan**

Thursday, June 18, 2009
7:30 a.m. – 12:00 p.m.

Indiana Wesleyan University
4100 Rockside Road
Independence, OH

**Registration and pre-payment deadline:
Thursday, June 11, 2009**

The half day is intended to qualify for 4 hours
of ASPPA continuing education credit.

Robert M. Kaplan, CFP, CPC, QPA, APA

Bob Kaplan is the Vice President, National Training Consultant for ING. His responsibilities include web cast and live training ING Retirement Services personnel, as well as members of the TPA and broker community. Bob is a member for the Board of Managers of the American Institute of Retirement education (AIRE) and a former member of the Board of Directors of the National Institute of Pension Administrators (NIPA).

He is currently the Chair of the ASPPA Government Affairs 401(k) Subcommittee. Bob is a frequent speaker at industry events including annual conferences for ASPPA, NIPA, Western Benefits, AICPA and the American Bar Association. He also speaks at NIPA chapter meetings and webcasts. Bob speaks at ASPPA Benefit Council meetings, webcasts and Regional meetings.

He has provided testimony before the Treasury department on 401(k) issues and other retirement plan issues. Bob has 30 years of experience in retirement plan services, including plan design, administration, sales and consulting. He was a high school basketball coach. He is a graduate of the State University of Albany, NY and has a graduate degree from William Paterson University in New Jersey.

The cost to attend the workshop is \$50 for ABC members and \$75 for non-members and includes continental breakfast and snacks. As there is a limited space available, we will reserve space only as paid registrations are received. Please register early with pre-payment to ensure your space.

I will be attending the following sessions
(select two sessions, one in each time slot):

- Session A-1 or Session A-2
- Session B-1 or Session B-2

- Please send me _____ Membership application(s).
 - Enclosed is/are _____ completed ABC Membership application(s) and a check to cover the membership fee in the amount of \$ _____.
 - Enclosed is \$ _____ for the HALF DAY SUMMER WORKSHOP fee.
- TOTAL AMOUNT ENCLOSED: _____

Name of Registrant (One per Registration Form): _____

Name of Organization: _____

Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) ____ - _____ Fax: (____) ____ - _____ Email: _____

Method of Payment: Check (payable to ABC of Cleveland) American Express Discover MasterCard Visa

Name (as it appears on card): _____

Credit Card #: _____ Expiration Date: _____

Signature: _____



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Schedule of Events

Select two sessions, either option 1 or 2 for each time slot

7:30 a.m. - 8:00 a.m.

Registration and Continental Breakfast

8:00 a.m. - 8:10 a.m.

Opening Comments from the President

Kimberly Funderburg, CPC, QPA, QKA, Schwab Retirement Plan Service, Inc.

8:10 a.m. - 9:30 a.m.

Keynote Address: What's New From Washington

*Robert M. Kaplan, CFP, CPC, QPA, APA,
ING*

9:30 a.m. - 9:45 a.m.

Break

9:45 a.m. - 10:45 a.m.

A-1 It's My Money and I Want It Now

*Robert M. Kaplan, CFP, CPC, QPA, APA,
ING*

A-2 DB - Using Credit Balances

*Brad Rigby, Senior Consultant and Actuary
Findley Davis, Inc*

10:45 a.m. - 11:00 a.m.

Morning Refreshment Break

11:00 a.m. - 12:00 p.m.

B-1 Alternative Investments and UBTI

*Ronald L. Kahn, Partner,
Ulmer Berne, LLP*

B-2 Roth versus Non-Roth

*Gary A. Zwick
Partner, Walter & Haverfield, LLP*

The Indiana Wesleyan University facility is located at 4100 Rockside Rd., just West of I-77 on the South side of Rockside Rd. There is plenty of free parking around the building. Plan to arrive early to get a good spot. Registration will be outside of the second floor meeting room.

Traveling East or West via I-480: Take the I-77 South exit and stay to the right. Exit immediately at Rockside Rd., turn right at the light and stay to your left. Turn left at the second stoplight (Oak Tree Blvd) Turn right at the first entrance into the parking lot. Follow that access road until it ends. Turn right into the IWU parking lot.

Traveling North from I-77: Go North to Rockside Rd. Exit, turn left at the light, stay to your left. Turn left at the second stoplight (Oak Tree Blvd.). Turn right at the first entrance into the parking lot and follow the access road until it ends. Turn right into the IWU parking lot.



ASPPA Benefits Council™

Cleveland

2009 Membership/Mailing List Application

1. Personal Information (Please Print)

First Name	Middle Initial	Last Name
Suffix (Jr., Sr., etc.)	ASPPA Designations (QKA, MSPA, etc.)	Non-ASPPA Designations (CFP, EA, etc.)
Birth Date*	Position/Title	Category**

2. Contact Information (Please Print)

Your contact information will not be shared with anyone outside ASPPA. Your home contact information will be used in the event we are unable to contact you at your place of business. It will also be used to track the congressional districts in which our members reside.

Business Name	Business Type***	
Business Address		
Business City	Business State	Business Zip
Business Phone	Business Fax	Business E-Mail
Home Address	Home City/State	Home Zip
Home Phone	Home Fax	Home E-Mail

3. Election Information (Check All That Apply – Members are automatically added to the mailing list.)

- New membership
- Renewal membership
- Individual membership for \$60
- Corporate membership for first 5 members \$60 each Next 5 additional members \$35 each Next 5 additional members \$30 each 26 or more additional members \$15 each (Example: Total cost for 30 corporate members would be \$1000)
- Seminars - Luncheons: Member luncheons \$25 each. Non-member luncheons \$40 each.
- Would you be interested in participating on any ASPPA Benefits Council committes and projects Yes
- If you were referred by a curretn ASPPA Benefits Council member, indicate their name here _____

4. Payment Information (Total Payment of \$ _____ for _____ individual(s) _____ Check enclosed, or Charge my Visa, Mastercard, Discover or American Express:

Card Number	Expiration
Print Name As It Appears on Card	Signature of Cardholder

Please return your completed application with payment to ASPPA Benefits Council of Cleveland c/o Brenda Loewenthal, QKA Brewster & Brewster, Inc. 7575 Tyler Blvd., A-4 | Mentor OH 44060 | 440.951.8889 Ext. 115 | Fax: 440.951.9889
Please attach additional pages or a spreadsheet with all requested information for additional individuals to be included on this application.

*Your birth date will be used as your unique identifier in our database. If you are not comfortable disclosing your age, please provide the actual month and day with the year rounded to the nearest decade (i.e. 1950, 1960, etc.) **Position Category: Accountant, Actuary, Attorney, Executive/Officer, Manager/Admin, Manager/Marketing, Manager/Product Sales, Manager/Technical, Staff/Admin, Staff/Marketing, Staff/Product Sales, Staff/Technical, Other. ***Business Category: Accounting, Actuarial/Employee Benefits Consulting, Bank/S&L, Computer/Software, Educational, Insurance, Investment Advisory, Law, Recordkeeping, TPA, Other.